

EL668291634US

EL668291634US

DATE DEPOSITED:

07/26/2001

MAIL DATE CANCELLED
JUL 30 2001

PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL
FORM

(to be used for all correspondence after initial filing)

Application Number	09/774,940
Filing Date	01/31/2001
First Named Inventor	Mills, et al.
Group Art Unit	1646
Examiner Name	Not Yet Assigned
Attorney Docket Number	5157.002

Total Number of Pages in This Submission

ENCLOSURES (check all that apply)

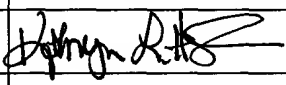
- | | | |
|--|---|--|
| <input checked="" type="checkbox"/> Fee Transmittal Form
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<input type="checkbox"/> Response to Missing Parts
under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Assignment Papers
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<input type="checkbox"/> Drawing(s)
<input type="checkbox"/> Licensing-related Papers
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SEE REMARKS |
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Remarks

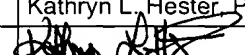
1. Transmittal Form PTO/SB/21 (1 page);
2. Fee Transmittal for FY 2001 PTO/SB/17 (1 page);
3. Response To The Notice To Comply With Requirements For Patent Applications Containing Nucleotide Sequence and/or Amino Acid Sequence Disclosure (4 pages);
4. Substitute Sequence Listing (1 page);
5. Copy of Notice To Comply With Requirements For Patent Applications Containing Nucleotide Sequence And/OR Amino Acid Sequence Disclosures (2 pages);
6. Petition For Extension Of Time Under 37 CFR 1.136(a) (1 page);
7. A 3.5 inch, 1.44Mb disk containing computer readable form of Substitute Sequence Listing;
8. Post Card

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Dunlap, Coddling & Rogers, P.C., 9400 North Broadway, Suite 420, Oklahoma City, OK 73114 Kathryn L. Hester, Ph.D., Reg. No. 46,768
Signature	
Date	7-26-01

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail or U.S. Express mail no. EL668291634US in an envelope addressed to the address below on this date: 07/26/2001

Typed or printed name	Kathryn L. Hester, Ph.D.
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Date 7-26-01

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AUG 01 2001

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DATE DEPOSITED: 07/26/2001

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**FEE TRANSMITTAL
for FY 2001**

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

(\$ 55

Complete if Known

Application Number 09/774,940

Filing Date 01/31/2001

First Named Inventor Mills et al.

Examiner Name Not Yet Assigned

Group Art Unit 1646

Attorney Docket No. 5157.002

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AUG 01 2001

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METHOD OF PAYMENT

- 1.
- ☒
- The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

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Account
Number

04-1700

Deposit
Account
Name

Dunlap, Codding & Rogers, P.C.

- ☒
- Charge Any Additional Fee Required
-
- Under 37 CFR 1.16 and 1.17

- ☒
- Applicant claims small entity status.
-
- See 37 CFR 1.27

- 2.
- ☐
- Payment Enclosed:

☐ Check ☐ Credit card ☐ Money
Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Small Entity

Fee Fee Fee Fee Fee Description

Code (\$) Code (\$) Code (\$)

101 710 201 355 Utility filing fee

106 320 206 160 Design filing fee

107 490 207 245 Plant filing fee

108 710 208 355 Reissue filing fee

114 150 214 75 Provisional filing fee

Fee Paid

SUBTOTAL (1) (\$ 0

2. EXTRA CLAIM FEES

Total Claims 0 - ** = 0 X 0 = 0
Independent Claims 0 - ** = 0 X 0 = 0
Multiple Dependent 0 = 0

Large Entity Small Entity

Fee Fee Fee Fee

Code (\$) Code (\$) Code (\$)

103 18 203 9 Claims in excess of 20

102 80 202 40 Independent claims in excess of 3

104 270 204 135 Multiple dependent claim, if not paid

109 80 209 40 ** Reissue independent claims
over original patent110 18 210 9 ** Reissue claims in excess of 20
and over original patent

SUBTOTAL (2) (\$ 0

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for <i>ex parte</i> reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	55
115	110	215	55	Extension for reply within first month	
116	390	216	195	Extension for reply within second month	
117	890	217	445	Extension for reply within third month	
118	1,390	218	695	Extension for reply within fourth month	
128	1,890	228	945	Extension for reply within fifth month	
119	310	219	155	Notice of Appeal	
120	310	220	155	Filing a brief in support of an appeal	
121	270	221	135	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,240	241	620	Petition to revive - unintentional	
142	1,240	242	620	Utility issue fee (or reissue)	
143	440	243	220	Design issue fee	
144	600	244	300	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Processing fee under 37 CFR 1.17(q)	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	710	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	710	249	355	For each additional invention to be examined (37 CFR § 1.129(b))	
179	710	279	355	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	
Other fee (specify)					

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 55

SUBMITTED BY

Name (Print/Type)

Kathryn L. Hester, Ph.D.

Registration No.
(Attorney/Agent)

46,768

Complete (if applicable)

Telephone

(405) 478-5344

Signature

Date

7-26-01

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WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/774,940	01/31/2001	Stanley L. Mills	5857.002

CONFIRMATION NO. 6337

FORMALITIES LETTER



OC000000006062439

Dunlap, Coddling & Rogers, P.C.
9400 North Broadway, Suite 420
Oklahoma City, OK 73114

Date Mailed: 05/10/2001

**NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS
CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE
DISCLOSURES**


Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file the items indicated below to avoid abandonment. Extensions of time may be obtained under the provisions of 37 CFR 1.136(a).

- This application does not contain a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). Applicant must provide such statement. If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000).
- A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 C.F.R. 1.821(e). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

*A copy of this notice **MUST** be returned with the reply.*



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